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Steven Brown Principal



General capability and experience

Steven is highly experienced lawyer with an extensive knowledge in all aspects of commercial law, with an intimate knowledge of the Corporations Law and the Australian Stock Exchange business and listing rules, being involved in corporate structuring, compliance, corporate takeovers, company floats, the preparation of prospectuses, employee share schemes and advising on directors' duties.

Steven lectures in Trade Practices Law for the Master of Commercial Law Course conducted by Macquarie University.

Steven has lectured for the Australian Institute of Company Directors for the past 15 years, has published a number of articles on commercial subjects and lectured in the Master and Doctorate courses at UTS in corporations, finance and securities law.

Contact Details

Direct Line:	(02) 9266 0550
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Email:	sbrown@etiennelaw.com

Admitted to Practice

1983

Qualified to practice in the following jurisdictions All State Courts and Tribunals in Australia High Court of Australia and all other Federal Courts and Tribunals Barrister and Solicitor of the High Court of New Zealand

Qualifications

Bachelor of Economics, Sydney University, (1980). Bachelor of Laws, Sydney University, (1983). Master of Applied Finance, Macquarie University (first in year) (1990). Accredited Business Specialist (1993). Fellow of the Australian Institute of Company Directors (1994).



Associations and Memberships

Member, Law Council of Australia Member, Law Society of New South Wales Member, Law Society of Auckland, New Zealand Associate Member, American Bar Association

University and Course Lecturing Positions

Diploma Course for the Australian Institute of Company Directors 1989 to now. Master of Commercial Law, Macquarie University 2003 to now (Trade Practices Law) UTS Masters and Doctorate course in Company and Securities Law 1990 to 1995 UTS Masters Course on Comparative Law for International Students 1991 to 1996

ARTICLES & BOOKS

Brown, S J "Investment Decisions: Discounted Cash Flow and other Valuation Methods in Litigation" (1991) **Journal of Banking Law and Finance and Practice** pp 4-28.

Brown, S J "The Early Termination of Fixed Term Loan Contracts" (1994) **Journal of Banking** and **Finance Law and Practice** pp 5-19.

Brown, S J "There is More Law in Sport than you Thought" **Sporting Law: A Practical Guide**, Law Book Company, 1995 Chapter 1.

Brown, S J "Effective Meetings" Australian Institute of Company Directors Course Paper No. 10 1996.

Brown, S J "Character Merchandising Down Under" **Sports and Character Licensing** Issue 5 April 2001 pp 16 –18.

Brown, S J "Making Your Web Shop Legal", **Dynamic Small Business**, June\July 2002, pp 46-48.

Brown, S J "Legal Issues In Training", **Dynamic Small Business**, August\September 2002, pp 28-30.

Brown, S J "Dismissing Employees for Poor or Non Performance", **Hands on Retail**, October\November 2002, pp 56-57.

Brown, S J "Branding & The Law", **Dynamic Small Business**, December 2002\January 2003, pp 28-30.

Brown, S J "Smart Solutions for Bad Debts", **Dynamic Small Business**, February\March 2003, pp 28-29.

Brown, S J "Securing Payment", Credit Management, Vol 10, No. 3, March 2003, pp38-39.

Brown, S J "Working SOHO", Dynamic Small Business, April\May 2003, pp 36-37.

Brown, S J "Alternative Dispute Resolution", **Dynamic Small Business**, October\November 2003.



Morris, F & Brown S J **Talking About Constitutions**, July 2005, Director Education Asia Pacific.

Brown, S J "Be Safe: Not Sorry ", Dynamic Small Business, August 2005, pp 18-21.

SEMINAR PRESENTATIONS & PAPERS

Brown, S J "Why Councils Require A Trade Practices Compliance Program" **Towards Best Practice 2 Day Seminar: Competition Policy,** Institute of Municipal Management NSW Division, 23 & 24 October 1995.

Brown, S J "National Competition Policy: Impact on Local Government" paper delivered to **Local Government Auditor's Association Conference** Terrigal, NSW, 26-28 May 1996.

Brown, S J **Contract Law for Non-Lawyers IIR Seminar** to be delivered in Melbourne 29 May 1996 and Sydney 4 June 1996.

Brown, S J "Managing A Trade Practices Compliance Audit for Councils" work shop delivered to the **Institute of Municipal Management NSW Division**, 29 July 1996.

Brown, S J "An Overview of Capital Raising by Debentures" **Young Lawyers of NSW Basics Programme Lecture Series**, paper delivered, 6 August 1996.

Brown, S J "A Practical Guide to Effective Performance Contracts", **Developing and Implementing Performance Measures for Contracting and Outsourcing**, International Quality & Productivity Centre Conference, 5 to 7 May 1997.

Brown, S J "Complying for Competitiveness", AICD SME Forums, 22 April, 1997.

Brown S J "Supply Arrangements: Isolating and assessing the areas of Key Importance to your Organisation", **International Communication for Management Trade Practices Conference**, 16 to 17 June 1997.

Brown, S J "Complying for Competitiveness", **AICD SME Forums**, 23 September, 1997-Structuring for Growth.

Brown, S J "Directors Responsibilities under Occupational Health & Safety", Occupational Health & Safety Seminar, October 1997.

Brown, S J "Legal Structure for Directors", AICD Workshop for Australian Meat & Livestock Limited, 10 November, 1997

Brown, S J "Directors Duties" & "Minimising the Risks in Corporate Governance", AICD Workshop for Australian Meat & Livestock Limited, 11 November, 1997

Brown, S J **Contract for Non-Lawyers** a series of one day seminars on Contract law for directors and managers organised by IIR in 1997, 1998 and 1999 conducted in Sydney, Melbourne, Brisbane and Perth.

Brown S J Employing: All you need to know about contracts, your obligations as a company, as an employer, as a sole trader, Australian Podiatry Association (NSW), 18 April 1998



Brown S J Conduct of Meetings, **AICD Workshop for Rice-Growers Co-Operative Limited**, 11 June, 1998

Brown, S J Financial Markets & Investment Products – an overview, **Corporate Law Economic Reform Program, joint seminar of AICD and IIR**, 23 June 1998

Brown, S J **Councillors and Officers Roles and Meeting Procedure** 1 day Workshop, for Shell Harbour City Council, 6 August, 1998.

Brown, S J Product Liability and Public Liability: Under the Trade Practices Act, **Design Institute of Australia: 1998 Forum Series**, 27 August, 1998.

Brown, S J Planning for Back Office Change after the Managed Investments Act, 1998, **Back Office Management in the Finance Industry, IIR Seminar,** 13 October, 1998.

Brown, S J Directors Duties, presentation to **Uniting Ministries for the Ageing**, 14 November 1998.

Brown, S J and Ingui, S A, **Employment Aspects of Insolvency Practice**, BLEC 11th Annual Insolvency Masterclass, Melbourne, 18 March 1999

Brown, S J **Trade Practices for Non-Lawyers** a series of one day seminars on all aspects of Trade Practices law concentrating on compliance aspects for directors and managers organised by IIR in 1998 and 1999 conducted in Sydney, Melbourne, Brisbane and Perth.

Brown, S J **Business Law Contract for Non-Lawyers Seminar** for ACR Seminars, Sydney and Melbourne 2000.

Brown, S J **Contract Law for Non-Lawyers Seminar for Queensland Police Service**, Brisbane, 11 April, 2000.

Brown S J, **Fund Raising Under the Corporations Law**, Hall Chadwick International Conference, Penang Malyasia, 6 June 2000

Brown, SJ **Trade Practices for Busy Executives** for ACR Seminars, Sydney, Melbourne, Perth and Brisbane 2000.

Brown, S J Legal Guide to E-commerce for ACR Seminars, Sydney and Perth November 2000.

Brown, S J **Investing in Asia a legal overview**, International Business Conferences, Beaver Creek, Colorado, USA, January 2001

Brown, S J **How to develop a Compliance Plan,** AICD and ACR, Melbourne October 25, 2001 and Sydney 30 October, 2001.

Brown, S J **Intellectual Property and Indemnities in Sales Contracts**, Buying & Selling Businesses, LAAMS Seminars, 18 June 2002.

Brown, S J **Know Your Intellectual Property**, Global Thoughts IP Seminar, Sydney, 23 July 2002.



Brown, S J **Capital Markets and fund raising in Australia**, ADVOC Regional Seminar, Beijing, 17 October, 2002.

Brown, S J **Capital Markets and fund raising in Australia**, Tainjin Law Society, Tainjin, 22 October, 2002.

Brown, S J **Executive Law Update**, Global Thoughts Conferences, Beaver Creek, Colorado, USA, January 2003.

Brown, S J Uses and Abuses of Statutory Demands, **Australian Institute of Credit Managers**, Penrith, 29 April 2003.

Brown, S J Getting the Message right in a multi-jurisdiction medium, e-Marketing: the future and present, Australian Marketing Institute, Inaugural e-Marketing Conference 2003, Sheraton Brisbane Hotel, Friday 9 May, 2003.

Brown, S J, Secured Lending, Securities Institute of Australia, Parramatta, 12 May 2003.

Brown, S J, Trust Busting of Protected Assets, **Australian Institute of Credit Managers**, **National Seminar Series 2003**, Rydges Hotel, Rosehill, 19 August 2003.

Brown, S J **"Terms & conditions of Trade**" Legalwise Seminars - Acting for Small to Medium Businesses Thursday 18 March 2004.

Brown, S J "Hiring Right", **Risky People Business, Global Thoughts Seminar**, 6 September 2004, Avillion Hotel Sydney.

Brown, S J "Persons concerning in management OH&S Considerations", **Pacific Area News Papers Association** 2004 Annual Conference 6-8 September, Pavilion Convention Centre, Conrad Jupiters, Gold Coast, Queensland, Australia Embracing Opportunities: Tackling Threats.

Brown, S J "**Business Structures**", Wealth Creators Entrepreneur's Seminar, 17th September 2005, Carlton Crest Hotel, Sydney.

Brown, S J "**Church Governance**", Church Law Forum, Wesley Mission, 17th November 2005, Sydney.

Up and Coming Seminars

DIRECTOR APPOINTMENTS - Present

Director BMCH Birtley Australia Pty Limited engineering company a wholly owned subsidiary of Taylor Woodrow PLC. Director Lift Materials Australia Pty Limited a wholly owned subsidiary of Dewhurst PLC.

DIRECTOR APPOINTMENTS – Past

Director Realty and Security Managers Limited. Realty and Security Managers Limited is Trustee of a Public Superannuation Fund: 1991 to 1999. Legal Board Member for the Chiropractors and Osteopaths Registration Board 1991 to 2001.

Legal Board Member for the Chiropractors and Osteopaths Registration Board 1991 to 2001. Director Touchbase Australia Pty Limited

